



20 Jay Street #928  
Brooklyn, NY 11201

T: +1 (855) 782-3559  
support@wealthsimple.com  
[www.wealthsimple.com](http://www.wealthsimple.com)

RE:

Client Name: \_\_\_\_\_

Account Number(s): \_\_\_\_\_

Please be advised that the above referenced individual is associated with FINRA member firm \_\_\_\_\_. Pursuant to FINRA Conduct Rule 3050, you have the consent of \_\_\_\_\_ to carry accounts on behalf of the individual (and immediate family members, if applicable). Accordingly, we are requesting that duplicate statements be sent for confirms and statements for all accounts pertaining to the above named individual.

**Statement delivery instructions:**

**Reporting and reviews Policy for Clients**

- Clients will receive a monthly statement itemizing all transactions that occurred during the previous month available through the Wealthsimple website.

Name of Officer: \_\_\_\_\_

Position: \_\_\_\_\_

Signature: \_\_\_\_\_